

Lens Shapes

by David Morgan-Mar

Lenses come in all sorts of different shapes and sizes. There are small lenses used in cameras; there are large lenses you can hold in your hand to magnify objects. There are tiny lenses used to focus lasers in your DVD or BluRay player; there are enormous lenses for focusing lighthouse beams. There are lenses in your eyes — you're looking through them right now!

Most of the lenses we see around us are chunks of glass or clear plastic, with a typical "lens" shape, as shown in light blue in **figure 1**. Say the word "lens" to most people and this is what they think of. And for good reason. Not only is it a very common shape for lenses, but it's also shaped

kind of like a giant lentil. You may notice that the words "lentil" and "lens" begin with the same three letters. This is not a coincidence. In fact, the Latin word for lentils, and the Latin genus name used in biological nomenclature for lentils, is "Lens". Is there some sort of connection here?

Physics

Indeed there is. **Lentils** were known in antiquity, being a common and nutritious crop in the Mediterranean and Middle East where early human civilisations arose. Lenses are a more recent invention. When you invent something, you need to come up with a name for it. A popular way to name a new thing is to call it by a name that sounds like something else that it looks like. Like the mouse attached to your computer has nothing to do with rodents, but was called a "mouse" because it kind of looks like one. And so it was with lenses. The first lenses looked like giant lentils, so they were given the same name (in Latin): *lens*.¹

This sort of Lens-shaped lens is used for magnifying nearby objects. If you look through it at distant objects, you won't see them magnified, but rather upside down. What this sort of lens actually does to light rays passing through it is to bend them closer to the central axis of the lens (figure 1). We call this type of lens a converging lens, because if parallel rays of light enter it, they converge together. In an ideal converging lens, rays of light entering it parallel to the central axis would all converge such that they pass through

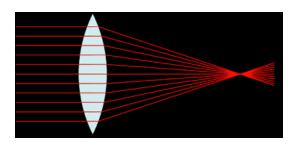


Figure 1. A converging lens. Adapted from public domain image by Wikipedia user Mglg from Wikimedia Commons.

exactly the same point on the other side. In other words, it focuses the light to a single point. This point is called the focal point of the lens, and its distance from the lens is called the focal length.

This is an ideal lens though, and in reality lenses are not quite ideal. **Figure 2** shows a few ways in which lenses can be less than ideal. Firstly, parallel rays of light might focus down to different points depending on how far away they are from the axis of the lens. This effect is very common in real lenses and is called **spherical aberration**. The word "spherical" comes from the fact that this

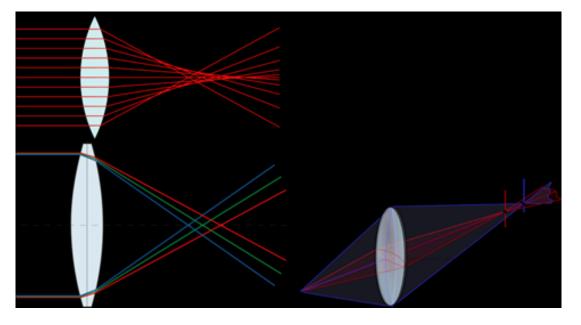


Figure 2. Spherical aberration; axial chromatic aberration; astigmatism. Adapted from: public domain image by Wikipedia user Mglg **from Wikimedia Commons**; Creative Commons Attribution-ShareAlike image by Bob Mellish **from Wikipedia**; Creative Commons Attribution-Share Alike image by Sebastian Kosch **from Wikimedia Commons**.

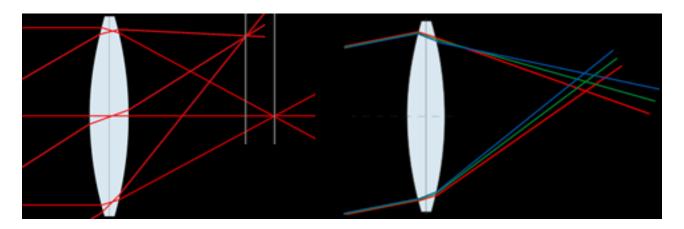


Figure 3. Field curvature; lateral chromatic aberration. Adapted from: Creative Commons Attribution-Share Alike image by BenFrantzDale **from Wikimedia Commons**; Creative Commons Attribution-ShareAlike image by Bob Mellish **from Wikipedia**.

occurs in lenses whose curved surfaces are spherical in shape, like the lens was produced by the intersection between two perfect spheres. As it turns out, to focus light at all distances from the axis on to exactly the same point the curved surfaces of a converging lens should not be spherical in shape, rather the curvature should vary slightly. However most lenses are spherical because: (1) in most cases the spherical aberration is not large enough to be a significant problem, and (2) spherical lenses are by far the easiest shape to make. This is because lenses are produced by grinding a chunk of glass. If you think about grinding a lens, the lens has to fit snugly into a cup of grinding material that matches its shape. If the curvature of the cup and lens are the same everywhere (i.e. the lens is spherical), then the lens can slip around inside the cup during grinding and it will always grind to the right shape. On the other hand, if the curvature varies, then when the lens slips around inside the cup some parts of the lens will be in contact with the grinding surface of the cup while others are not. In fact if you keep grinding you'll grind away the "bumps" on the lens and end up with a more perfectly spherical shape than when

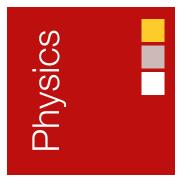
you started. So grinding curved shapes tends to produce spheres, and anything non-spherical tends to be much more difficult to produce.³

A second way in which a lens can be less than ideal is if light of different colours (i.e. wavelengths, or frequencies) comes to a focus at different points along the axis. This is also very common, because the amount by which transparent materials bend light usually depends on the wavelength of the light. This is exactly the same phenomenon which produces rainbows, with water droplets in the air from rain or mist acting as tiny lenses. This effect is called **chromatic** aberration and manifests as coloured fringes around contrasting objects in the image produced by the lens. More specifically, this particular case is called axial chromatic aberration; there's another type of chromatic aberration, explained below.

A third way a lens can be imperfect is if light entering different parts of the lens parallel to the axis focus to different points, depending on the angle around the lens at which they enter. For example, if light entering the lens at the twelve o'clock and six o'clock positions focuses to a different point than light

entering at the three o'clock and nine o'clock positions. This effect is called astigmatism, and occurs if the lens is not rotationally symmetrical, through a manufacturing error or for some other reason. The most common place we experience astigmatism is in the lenses of our eyes, which can become asymmetrical through the vagaries of biology. Like chromatic aberration, this is just one form of astigmatism; there are others that can occur, even in perfectly symmetrical lenses — if the light is entering at an angle, for example.

Two other important aberrations occur when light enters a lens from a direction other than parallel to the axis. In the first one (figure 3), light from a flat object in front of the lens might be focused on to a curved surface behind the lens, rather than a flat surface. This is called *field curvature* and is pretty much inevitable in any real lens. Camera lens manufacturers try very hard to minimise field curvature, but it's difficult and the price to pay for a nice flat field near the centre of a photo is significant curvature near the corners. If you look at a photo from a high quality camera at maximum resolution, you'll see the focus will be nice and sharp in the middle, but will become noticeably



fuzzy and "mushy" in the corners. That's the effect of field curvature.

And if light entering the lens at an angle to the axis comes to different focus positions shifted *laterally* depending on the colour of the light, then that's the second form of chromatic aberration, called *lateral chromatic aberration*. This is the sort of chromatic aberration that photographers are most familiar with. It causes red fringes on one side of contrasting objects and bluish fringes on the opposite side, and it generally gets worse towards the edges of the image.

Imperfections in the shape of a lens lead to other sorts of aberrations which I haven't talked about. All of the aberrations I've described here can (and usually are) present even in perfectly constructed lenses — that is, ones that exactly match their design specifications. The goal of designing a high quality lens such as those used for cameras is to combine multiple simple lenses to offset their various aberrations against one another, to try to cancel them out. With so many different types of aberration to balance, you can imagine that this is highly non-trivial. It comes down to a trade-off between minimising one aberration at the expense of allowing another one to be slightly larger than it could otherwise be. To make these trade-offs acceptable, modern camera lenses use upwards of a dozen separate lens elements inside them, of various curvatures and types of glass.

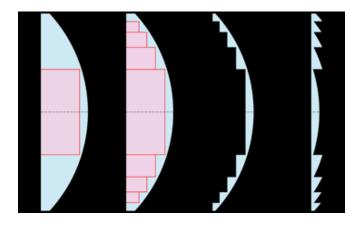


Figure 5. Development of a Fresnel lens.

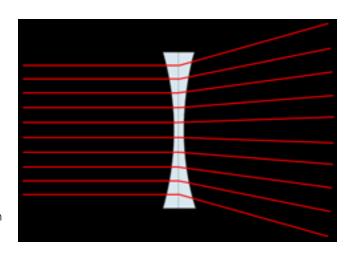


Figure 4. A diverging lens. Adapted from GNU Free Documentation Licence image by Wikipedia user DrBob **from Wikimedia Commons**.

So what other shapes can a lens be? We've seen a converging lens. **Figure 4** shows a *diverging* lens, which makes light rays that enter it parallel to the axis diverge away from one another. Whereas the converging lens shown in **figure 1** is convex on both sides, this diverging lens is concave on both sides. This is the other fairly common shape people might think of when they think "lens shape". (Although this one doesn't look much like a lentil at all.)

But let's imagine you're building a lighthouse, and you want to make a beacon that sends out a nice strong beam of light. The light comes from a point where the lamp is located, but it spreads out in all directions and quickly becomes faint and weak.

To serve well as a lighthouse beacon, you want to pull the light rays closer together, so they go out in a tight beam. Essentially you want to make the light rays travel parallel to one another. So what you need is a converging lens. Being a lighthouse, you want a really big, giant converging lens, to make a big bright beam of light.

One option is the classic *Lens*-shaped lens. But make one of these two metres across and you're looking at an *enormous* chunk of glass, with a huge solid blob of really heavy material in the



Even a lentil burger patty has the same shape as a lens!

middle. This would make the lens really heavy — we're talking tons of glass here, literally. What can be done about this?

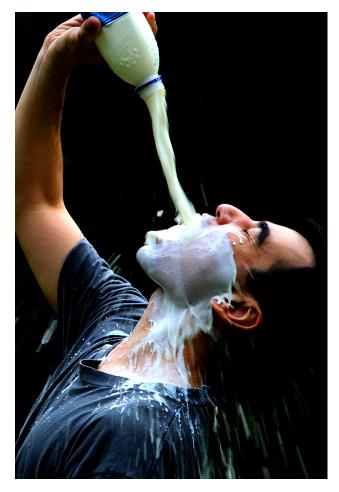
First let's modify the shape slightly, by making the back surface flat. We need to increase the bulge on the other side to compensate and maintain the same focal length, so this doesn't really save us anything. But now look at the big rectangular block of glass inside the lens, shown shaded in figure 5. (In three dimensions this is a cylinder.) A sheet of glass like this with both sides parallel doesn't do any bending or focusing of the light at all.⁴ So... why not get rid of it?! If we do, the resulting lens focuses

light in (almost) exactly the same way, but is now significantly lighter and less bulky. And we can repeat the process, cutting out cylindrical blocks of the lens that don't actually contribute anything to the focusing ability. What's really important is the *angle* between the back and the front of the lens, not how much glass is in between.

The final step is to shift the remaining bits of the lens, without changing their shape, so that they line up in a thin sheet, rather than bulging out like the front of a big lens. This also does (almost) nothing to the focusing ability of the lens. So now we've produced a funny-looking shape that does the

same job as a huge bulky lens, but with a small fraction of the bulk and weight in glass. And this is precisely the sort of lens you'll see if you visit a lighthouse and have a look at the lantern. This type of lens is called a *Fresnel lens* (the "s" in "Fresnel" is silent), after the French physicist **Augustin-Jean**Fresnel, who invented them in the early 19th century. Fresnel made many important contributions to optics, mainly in our understanding of the diffraction and aberration of light.

Fresnel lenses are really cool, because they work almost as well as big, bulky lenses, but can be made really thin and flat. This is how those "magnifying



David Morgan-Mar

David Morgan-Mar is a research engineer living in Sydney, Australia. Currently working for **Canon Information Systems Research Australia** on image processing projects, he also finds time to write webcomics and role-playing game supplements, photograph at a professional level, follow cricket, travel the world, and be a drummer in a band.

How does he find the time to do all this?

I have extremely little spare time. I am always lamenting how I don't have enough time to do all of the stuff I want to do. What I do have is a creative urge. Ideas. The desire to make things, and do things, and learn things. What I have is a list of ideas for things I want to do, or make, or places I want to go. A big list. A really, really big list. I can't possibly do them all.

What I also have is the burning desire to make sure I damn well do at least some of the things on that list. I can't sit still in front of the TV. I'm always thinking about what cool thing I could be doing instead. So I'll run off in the ad breaks and fiddle with my photos in Photoshop, or write snippets of dialogue for comics, or bake some banana muffins. Despite not having enough spare time, I make the time to create things, because I can't bear the thought of not creating things.

People who are going out of their way to find the time to be creative and to make new things are taking steps to make something concrete out of the ideas and projects and creative desires locked inside their heads that other people would otherwise never get to see. They are making the most of their time. Go out and make the most of yours.

sheet" or "sheet magnifier" things work. They look like a thin, often flexible, sheet of plastic engraved with circular grooves. The grooves are carefully shaped to make up a Fresnel lens, with the result that the entire sheet acts like a giant **magnifying glass**. Fresnel lenses are also cool because they're in lighthouses, which are intrinsically cool in themselves.

Next time you travel somewhere where there's the opportunity to visit a lighthouse, and in particular to do a tour and go up to see the lamp, take advantage of the opportunity. Lighthouse lamps and lenses are impressive objects, and knowing a bit about the physics of how they work makes them even more interesting than just as a piece of unusual architecture. And if you're feeling really clever, you can even mention to the tour guide or lighthouse staff about the connection to lentils.

Notes

- 1. If this fact sounds vaguely familiar, but you're not sure where you heard it before, maybe it was because I mentioned it once before. However that was in passing and mostly devoid of any further context. Now that you've heard it in a long discussion that is entirely about lenses, you're more likely to remember it and use it as in interesting titbit in party conversation yourself. It's guaranteed to impress members of your preferred romantic relationship demographic who are interested in physics and etymology.²
- 2. And *all* members of your preferred romantic relationship demographic should be interested in physics and etymology!
- 3. Some top-end camera lenses have non-spherical lens elements in them to reduce aberrations. You can tell which lenses have them because they are the most expensive ones!
- 4. Light is bent *inside* the block, but emerges on the other side parallel to its original path. The parallel shift of incoming and outgoing light beams *can* be important in some cases, but is usually of little consequence.